



# Autocorrelation-based Adaptation Rule for Feedback Equalization in Wideband Full-Duplex Amplify-and-Forward MIMO Relays



Aalto University  
School of Electrical  
Engineering

Emilio Antonio-Rodríguez<sup>†\*</sup>, Roberto López-Valcarce<sup>†</sup>  
Taneli Riihonen<sup>\*</sup>, Stefan Werner<sup>\*</sup> and Risto Wichman<sup>\*</sup>

<sup>†</sup>Department of Signal Theory and Communications, University of Vigo, Vigo, Spain  
<sup>\*</sup>Department of Signal Processing and Acoustics, Aalto University, Helsinki, Finland

UNIVERSIDADE  
DE VIGO

## SYSTEM MODEL

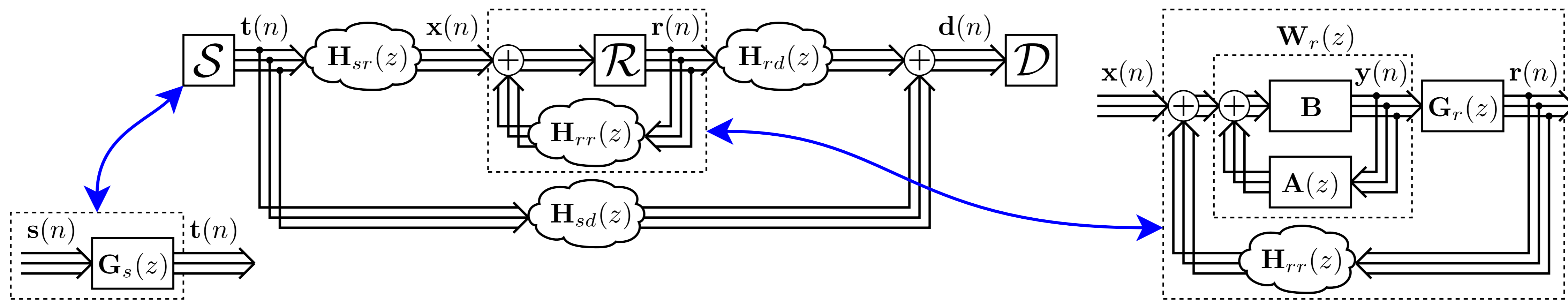


Figure: System model of a full-duplex amplify-and-forward relay with self-interference mitigation and channel equalization.

## INTRODUCTION

- **Relays** allow for an extended network **coverage** and increased network **performance**, especially within single-frequency networks (SFN). Among the different relay types, **full-duplex** relays transmit and receive **simultaneously**, which translates into:
  - Higher **spectral efficiency** than half-duplex relays.
  - **Self-interference** at the relay receive side.
- Self-interference at the relay can **dramatically** reduce the relay **performance** if its power is high enough. Consequently **mitigation** of this distortion is required. We propose:
  - a self-interference mitigation **method** for full-duplex amplify-and-forward MIMO relays.
- Our method has the following characteristics:
  - it is **adaptive**, so it can keep track of environment changes.
  - it only requires **statistical** information of the source signal.
  - it introduces **no extra delay** in the relay processing.
  - it can **equalize** the source-relay channel.

## PROBLEM SETTING AND MITIGATION METHOD

- The system model consists of a **source** ( $\mathcal{S}$ ), a **relay** ( $\mathcal{R}$ ) and a **destination** ( $\mathcal{D}$ ), with the following characteristics:
  - $\mathcal{S}$  transmits  $M_s$  streams using  $M_t$  antennas.
  - $\mathcal{R}$  has  $M_s$  receive and  $M_r$  transmit antennas.
  - the proposed equalizer is  $\mathbf{W}_r(z) = (\mathbf{I} - \mathbf{B}\mathbf{A}(z))^{-1}\mathbf{B}$ , with  $\mathbf{B}$  and  $\mathbf{A}(z)$  being  $M_s \times M_s$  matrices.
- The relay response from  $\mathbf{x}(n)$  to  $\mathbf{y}(n)$  is  $\mathbf{H}_{xy}(z) = (\mathbf{I} - \mathbf{W}_r(z)\mathbf{H}_{rr}(z)\mathbf{G}_r(z))^{-1}\mathbf{W}_r(z)$ . Self-interference is eliminated  $\mathbf{W}_r(z) = (\mathbf{I} + \mathbf{H}_{rr}(z)\mathbf{G}_r(z))^{-1}$ .
- $\mathbf{W}_r(z)$  has enough degrees of freedom to additionally equalize  $\mathbf{H}_{sr}(z)\mathbf{G}_t(z)$ , so we have  $\mathbf{y}(n) = \mathbf{s}(n - \delta)$ .
- In order to achieve such desirable equalization, we adapt  $\mathbf{B}$  and  $\mathbf{A}(z)$  using the following rule:

$$\begin{aligned} \mathbf{A}[k](n+1) &= \mathbf{A}[k](n) + \mu_a(\mathbf{R}_*[k] - \mathbf{y}(n)\mathbf{y}^H(n-k)) \\ \mathbf{B}(n+1) &= \mathbf{B}(n) + \mu_b(\mathbf{R}_*[0] - \mathbf{y}(n)\mathbf{y}^H(n)) \end{aligned}$$

for  $k = 0, \dots, L_a$ , with  $L_a$  being the order of  $\mathbf{W}_r(z)$  and step-sizes  $\mu_a > 0$  and  $\mu_b > 0$ . The algorithm only makes use of the side information  $\mathbf{R}_*[k] = \mathbb{E}\{\mathbf{s}(n)\mathbf{s}^H(n-k)\}$ .

## CONCLUSIONS I

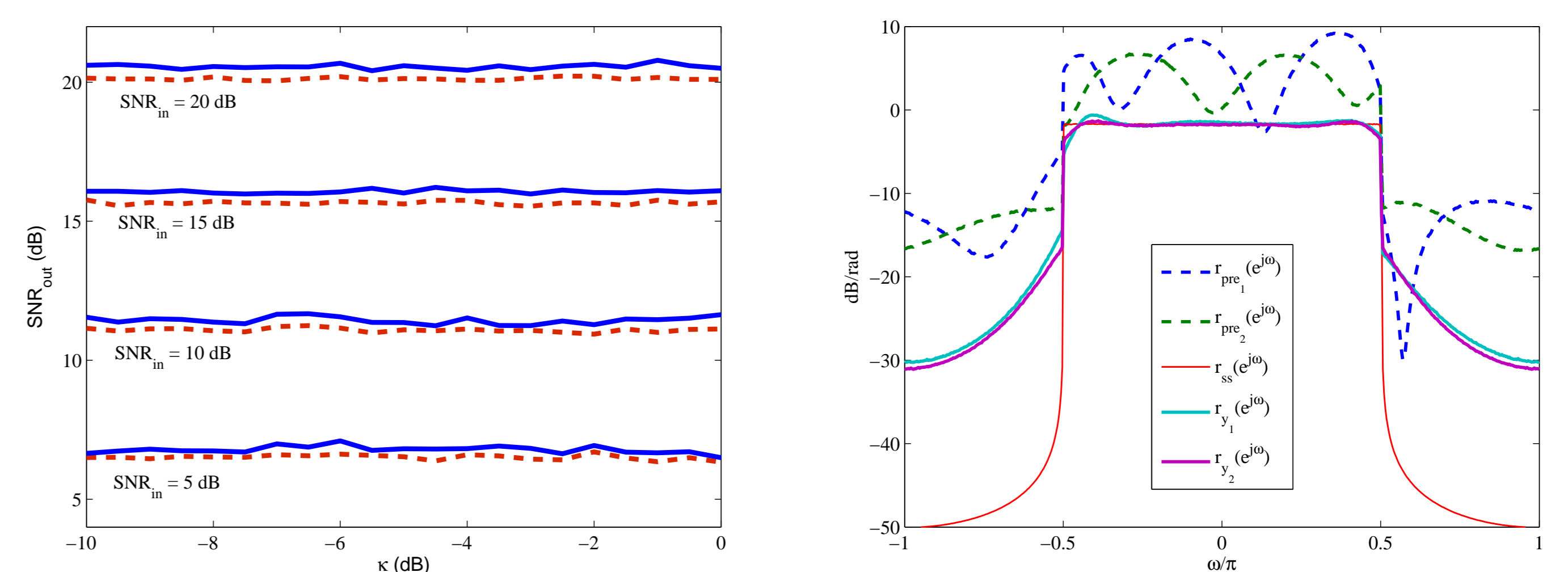
- The proposed method **solves** the problem of self-interference mitigation in amplify-and-forward MIMO full-duplex relays by using only **statistical** information of the source signal as **side information**.
- **Simulations** show that our method **improves** the output SNR for a wide range of self-interference power levels.

## ALGORITHM ANALYSIS

- Upon convergence, and assuming sufficient order  $L_a$ , any stationary point will satisfy:
  - $\mathbf{H}_{xy}(z) = \Sigma(z)\mathbf{V}\Gamma^{-1}(z)$ , with  $\Sigma(z)$  and  $\Gamma(z)$  being the minimum phase factors of  $\mathbf{s}(n)$  and  $\mathbf{x}(n)$ , respectively.  $\mathbf{V}$  is a unitary matrix.
  - $\mathbf{y}(n)$  can be thought of as  $\mathbf{s}(n)$  being filtered by a pre-whitening filter  $\Gamma^{-1}(z)$ , followed by an undetermined spatial rotation  $\mathbf{V}$ , and a conformation filter  $\Sigma(z)$ .
  - Assuming that  $\mathbf{H}_{sr}(z)\mathbf{G}_t(z)$  is minimum-phase and  $\Sigma(z) = \sigma\mathbf{I}$ , we can explicitly state that  $\mathbf{H}_{sy}(z) = \mathbf{V}$  and, consequently, the algorithm is able of self-interference **mitigation** and channel **equalization**.

## SIMULATION RESULTS

- Simulation parameters:
  - We use  $M_s = 2$  independent streams of an OFDM-modulated source signal with  $N_{sub} = 8192$  subcarriers and  $1/4N_{sub}$  cyclic prefix length. An oversampling factor of 2 is used at the input and output of  $\mathbf{W}_r(z)$ .
  - Sufficient-order case with  $L_a = 4$ ,  $\text{SNR}_{in} = 10$  dB and  $\kappa = -5$  dB (ratio between information signal and self-interference signal powers).



(a)  $\text{SNR}_{out}$  as function of  $\kappa$  and  $\text{SNR}_{in}$ .

(b) Restoration of reference psd.

- Figure (a) shows the relation between  $\text{SNR}_{in}$  and  $\text{SNR}_{out}$  as a function of  $\kappa$ . We see that the algorithm always **improves** the signal-to-noise ratio of the system, i.e.  $\text{SNR}_{out}/\text{SNR}_{in} > 1$ , and the **improvement** is bigger for lower  $\text{SNR}_{in}$ .
- Figure (b) shows the resulting spectrum after convergence of the algorithm, and how the algorithm is effectively capable of mitigating the self-interference signal and channel equalization while improving the  $\text{SNR}_{in}$  (note the spectrum ripples caused by the self-interference signal and the  $\mathcal{S} - \mathcal{R}$  channel).

## CONCLUSIONS II

- In addition to self-interference mitigation, our method is able of **equalizing** the source-relay channel up to of a mere **spatial rotation**.
- Note that due to the structure of  $\mathbf{W}_r(z)$ , **no extra delay** is introduced into the system, and therefore **not increasing** the network **latency**.